High-quality SNPs from genic regions highlight introgression patterns among-Mis en forme : Espace Après : 6 pt European white oaks (*Quercus petraea* and *Q. robur*). 2 3 Authors: Tiange Lang<sup>1,2,3</sup>, Pierre Abadie<sup>1,2</sup>, Valérie Léger<sup>1,2</sup>, Thibaut Decourcelle<sup>1,2,4</sup>, Jean-4 Mis en forme : Espace Après : 6 pt Marc Frigerio<sup>1,2</sup>, Christian Burban<sup>1,2</sup>, Catherine Bodénès<sup>1,2</sup>, Erwan Guichoux<sup>1,2</sup>, Grégoire Le 5 Provost<sup>1,2</sup>, Cécile Robin<sup>1,2</sup>, Naoki Tani<sup>1,2,5</sup>, Patrick Léger<sup>1,2</sup>, Camille Lepoittevin<sup>1,2</sup>, Veronica 6 A. El Mujtar<sup>1,2,6</sup>, François Hubert<sup>1,2</sup>, Josquin Tibbits<sup>7</sup>, Jorge Paiva<sup>1,2,8,9</sup>, Alain Franc<sup>1,2</sup>, 7 Frédéric Raspail<sup>1,2</sup>, Stéphanie Mariette<sup>1,2</sup>, Marie-Pierre Reviron<sup>1,2</sup>, Christophe Plomion<sup>1,2</sup>, 8 Antoine Kremer<sup>1,2</sup>, Marie-Laure Desprez-Loustau<sup>1,2</sup>, Pauline Garnier-Géré<sup>1,2,§</sup> 9 10 Addresses: 11 <sup>1</sup>INRAE, UMR 1202 Biodiversity Genes & Communities, F-33610 Cestas, France 12 <sup>2</sup>Univ. Bordeaux, UMR 1202, Biodiversity Genes & Communities, F-33400 Talence, France 13 14 <sup>3</sup>Big Data Decision Institute, Jinan University, Tianhe, Guangzhou, PR China <sup>4</sup>GEVES, 25 rue Georges Morel, 49071, Beaucouzé, France 15 <sup>5</sup> Japan International Research Center for Agricultural Sciences (JIRCAS), Tsukuba, Ibaraki, 16 17 Japan 18 <sup>6</sup>Unidad de Genética Ecológica y Mejoramiento Forestal. Instituto Nacional de Tecnología Agropecuaria (INTA) EEA Bariloche, Modesta Victoria 4450 (8400), Bariloche, Río Negro, 19 20 Argentina <sup>7</sup> Department of Environment and Primary Industries, Biosciences Research Division, 21 Agribio, 5 Ring Road, Bundoora, Victoria, 3086, Australia 22 <sup>8</sup> Instituto de Biologia Experimental e Tecnologica, iBET, Apartado 12, Oeiras 2780-901, 23 24 <sup>9</sup> Institute of Plant Genetics, Polish Academy of Sciences, 34 Strzeszynska street, Poznan PL-25 Mis en forme : Espace Après : 6 pt 60-479, Poland 26 27 Keywords: SNPs, functional candidate genes, Quercus robur, Q. petraea, Sanger amplicon-Mis en forme : Espace Après : 6 pt 28 29 resequencing, introgression, species differentiation 30 31 §Corresponding author Pauline Garnier-Géré

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#### Abstract

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68 69 In the post-genomics era, non-model species like most Fagaceae still lack operational diversity resources for population genomics studies. Sanger sequence datas were produced from over 800 gene fragments covering ~530 kb across the genic partition of European oaks, in a discovery panel<del>range wide sampling</del> of 25 individuals from western and central Europe (11 Quercus petraea, 13 Q. robur, one Q. ilex as an outgroup). Regions targeted represented broad functional categories potentially involved in species ecological preferences, and a random set of genes. Using a high-quality dedicated pipeline, we provide a detailed characterization of these genic regions, which included over 14500 polymorphisms, with ~12500 SNPs -218 being triallelic-, over 1500 insertion-deletions, and ~200 novel di- and trinucleotide SSR loci. This catalog also provides various summary statistics within and among species, gene ontology information, and standard formats to assist loci choice for genotyping projects. The distribution of nucleotide diversity ( $\theta \pi$ ) and differentiation ( $-F_{ST}$ ) across genic regions are also described for the first time in those species, with a—(\_mean  $\theta\pi$  close to  $\sim 0.0049$  in Q. petraea and to  $\sim 0.0045$  in Q. robur across random regions, and a mean  $F_{ST}$ ~0.13 across SNPs. The magnitude of diversity across genes is) within the range estimated for long-term perennial outcrossers, and can be considered relatively high in the plant kingdom, with an estimate across the genome of 41 to 51 million SNPs expected in both species. Individuals with typical species morphology were more easily assigned to their corresponding genetic cluster for Q. robur than for Q. petraea, revealing higher or more recent introgression in O. petraea and a stronger species integration in O. robur in this particular discovery panel. We also observed robust patterns of a slightly but significantly higher diversity in Q. petraea, across a random gene set and in the abiotic stress functional category, and a heterogeneous landscape of both diversity and differentiation. To explain Tthese patterns, we discuss an alternative and non-exclusive hypothesis of stronger selective constraints in Q. robur, the most pioneering species in oak forest stand dynamics, additionally to the recognized and are discussed in the context of both species documented introgression history in both species despite their strong reproductive barriers. The quality of the data provided here and their representativity in terms of species genomic diversity make them useful for possible applications in medium-scale landscape and molecular ecology projects. Moreover, they can serve as reference resources for validation purposes in larger-scale resequencing projects. This type of project is preferentially recommended in oaks in contrast to SNP array

development, given the large nucleotide variation and the low levels of linkage disequilibrium revealed.

High-throughput techniques of the next-generation sequencing (NGS) era and increased

#### Introduction

genome sequencing efforts in the last decade have greatly improved access to genomic resources in non-model forest tree species (Neale and Kremer 2011, Neale *et al.* 2013; Plomion *et al.* 2016). However, these have only been applied recently to large-scale ecological and population genomics research (Holliday *et al.* 2017). One notable exception are studies undertaken in the model genus *Populus* (e.g. Zhou *et al.* 2014, Geraldes *et al.* 2014, Christe *et al.* 2016b) that benefited from the first genome sequence completed in 2006 in *P. trichocarpa* (Tuskan *et al.* 2006). In *Fagaceae*, previous comparative mapping and "omics" technologies (reviewed in Kremer *et al.* 2012) with recent development of genomic resources (e.g. Faivre-Rampant *et al.* 2011; Tarkka *et al.* 2013; Lesur *et al.* 2015; Lepoittevin

et al. 2015, Bodénès et al. 2016) set the path to very recent release of genome sequences to

the research community (Quercus lobata, Sork et al. 2016; Q. robur, Plomion et al. 2016,

2018; O. suber, Ramos et al. 2018; Fagus sylvatica, Mishra et al. 2018), and these provide

great prospects for future evolutionary genomics studies (Petit et al. 2013; Parent et al. 2015;

Cannon et al. 2018; Lesur et al. 2018).

Recently, building from the European oaks genomic resources (*Quercus Portal* at <a href="https://arachne.pierroton.inra.fr/QuercusPortal/">https://quercusportal.pierroton.inra.fr/</a>—and references therein), natural populations of 4 *Quercus* species (*Q. robur, Q. petraea, Q. pyrenaica, Q. pubescens*) were genotyped for ~4000 single-nucleotide polymorphisms (SNPs, from an initial 8K infinium array, Lepoittevin *et al.* 2015). The data were further analysed (Leroy *et al.* 2017), with results extending previous knowledge on their likely diversification during glacial periods, as well as their recolonization history across Europe and recent secondary contacts (SC) after the last glacial maximum (Hewitt 2000; Petit *et al.* 2002a; Brewer *et al.* 2002). Using recent model-based inference allowing for heterogeneity of migration rates (Roux *et al.* 2014; Tine *et al.* 2014), Leroy *et al.* (2017) showed that the most strongly supported demographic scenarios of species diversification, allowing for gene flow among any pair of for all the four4 species mentioned above, pairs, included very recent SC, due to a much better fit for patterns of large heterogeneity of differentiation observed across SNP loci (confirmed by Leroy *et al.* 2019, using ~15 times more loci across the genome and

the same inference strategy). These recent SC events have been documented in the last decade

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103 in many patchily distributed hybrid zones where current in situ hybridization can occur among 104 European oak species (e.g. Curtu et al. 2007; Jensen et al. 2009; Lepais and Gerber 2011; 105 Guichoux et al. 2013). The resulting low levels of differentiation among Q. robur and Q. 106 petraea in particular is traditionally linked to a model of contrasted colonization dynamics, 107 where the second-in-succession species (Q. petraea) is colonizing populations already 108 occupied by the earlier pioneering Q. robur (Petit et al. 2003). This model predicts 109 asymmetric introgression towards O. petraea (see Currat et al. 2008), as often observed in 110 interspecific gene exchanges (Abbott et al. 2003), and a greater diversity in Q. petraea was 111 documented at SNP loci showing higher differentiation (Guichoux et al. 2013). The 112 directionality of introgression in oaks was also shown to depend on species relative 113 abundance during mating periods in particular stands (Lepais et al. 2009, 2011). 114 Nethertheless, oaks like other hybridizing taxa are known for the integration of their species 115 parental gene pools and strong reproductive isolation barriers (Muir et al. 2000; Muir and 116 Schlötterer 2005; Abadie et al. 2012, Lepais et al. 2013; Ortiz-Barrientos and Baack 2014; 117 Christe et al. 2016a), raising essential questions about the interacting roles of divergent (or 118 other types of) selection, gene flow, and recombination rates variation in natural populations, 119 and their imprints on genomic molecular patterns of variation (e.g. Zhang et al. 2016; Christe 120 et al. 2016b; Payseur and Rieseberg 2016). 121 These issues will be better addressed with genome-wide sequence data in many samples 122 (Buerkle et al. 2011), which will be facilitated in oaks by integrating the newly available 123 genome sequence of Quercus robur to chosen HT resequencing methods (Jones and Good 124 2016; e.g. Zhou and Holliday 2012; Lesur et al. 2018 for the first target sequence capture 125 study in oaks). However, obtaining high quality haplotype-based data required for nucleotide 126 diversity estimation and more powerful population genetics inferences will likely require the 127 development of complex bioinformatics pipelines dedicated to high heterozygosity genomes 128 and solid validation methods for polymorphism detection (e.g. Geraldes et al. 2011; Christe et 129 al. 2016b). 130 Therefore, the objectives of this work were first to provide a detailed characterization of 131 sequence variation in Quercus petraea and Quercus robur. To that end, we validated previous 132 unpublished Sanger sequence data from the classical Sanger' chain-terminating 133 dideoxynucleotides method (Sanger et al. 1977). These sequences for fragments of targeted 134 fragments of gene regions in a panel of individuals sampled across a-the western and central

European large part of both species geographic range. Both functional and expressional

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candidate genes potentially involved in species ecological preferences, phenology and host-pathogen interactions were targeted, as well as a reference set of fragments randomly chosen across the last oak unigene (Lesur *et al.* 2015). These data were obtained within the framework of the EVOLTREE network activities (<a href="http://www.evoltree.eu/">http://www.evoltree.eu/</a>). Second, we aimed at estimating the distributions of differentiation and nucleotide diversity across these targeted gene regions for the first time in those species, and further test the robustness of comparative diversity patterns observed in the context of both species contrasted dynamics and introgression asymmetry. We discuss the quality, representativity and usefulness of the resources provided for medium scale genotyping landscape ecology projects or as a reference resource for validation purposes in larger-scale resequencing projects.

## Material and methods

147 Sample collection

- The discovery panel (DiP) included 25 individuals from 11 widespread forest stands with 2 to
- 4 individuals per location (13 from Q. robur, 11 from Q. petraea, 1 from Q. ilex to serve as
- 150 outgroup, in Table 1).

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**Table 1** Geographic location of 25 sampled individuals from *Quercus petraea*, *Q. robur* and

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Country	Sampling site	Latitude	Longitude	Morphological Quercus species	Original Identifier	European cpDNA lineages#	<u>cpDNA</u> <u>haplotypes</u>
Spain	Arlaban	42.967	-2.55	petraea	Ar18	<u>B</u>	10, 11, 12
				robur	Ar22		<u>12</u>
France	Arcachon	44.663	-1.181	robur	A4*	<u>B</u>	<u>11, 12</u>
	Pierroton	44.737	-0.776	ilex	IL_C	Euro-Med	H12**
				robur robur	11P* 3P*	<u>B</u>	<u>10, 12</u>
	Orléans	47.826	1.908	petraea	Qs21*		
				petraea	Qs28*	<u>B</u>	10, 11, 12
				petraea	Qs29*		
	Petite Charnie	48.083	-0.167	petraea	PC55		
				robur	PC229	<u>A</u>	<u>7</u>
				robur	PC233		
Switzerland	Büren	47.105	7.383	petraea	В3	<u>C</u>	<u>1</u>
				robur	B179	<u></u>	
Hungary	Sopron	47.717	16.642	petraea	S444	<u>A</u>	<u>5, 7</u>
				robur	S104		5, 7
The Netherlands	Meinweg	51.181	6.138	petraea	M51	A . C	<u>1, 5</u>
recticitatios	Wiemweg	31.101	0.130	robur	M7	<u>A, C</u>	
United Kingdom (UK)	Roudsea Wood	54.218	-3.018	petraea robur	RW108 RW8	<u>B</u>	10, 12
(UK)				robur robur	RW11		
Germany	Rantzau	53.707	9.765	petraea	R100		
Germany	Namzau	33.101	2.103	petraea petraea	R100		
				robur	R300	<u>A, C</u>	<u>7, 1</u>
				robur	R312		

central point in the mixed forest stand, or the mean of individual trees coordinates. \*: parents of controlled crosses used for genetic mapping. #: after Petit et al. (2002a), the putative glacial refugia for lineage B and C are located in the south of Spain, and for lineages A and C either in the south of Italy or in the Balkans or both(Petit et al. 2002). \*\*: cpDNA haplotypes are from trees previously sampled in Petit et al. (2002b), located within a 50 km radius of studied trees, based on the GD2 database (http://gd2.pierroton.inra.fr/). Quercus species were a priori assigned from morphological information by persons who sampled the trees. but see below for a comparison with genetic assignments and introgression analyses of each individual using the STRUCTURE bayesian inference method ("Characterization of diversity..." part).

These stands occur across a large part of both Quercus species natural distributions, spanning ~20° in longitude (~2200 km) and ~11° in latitude (~1250 km) in western and central Europe (Fig. S1, Supporting Information). They are also located in areas covering the three major cpDNA lineages A, B and C (among five) that indicate different historical glacial refugia

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(Petit et al. 2002a), and extend much further geographically towards northern, eastern and south-eastern European borders (Table 1, after Petit et al. 2002b). One stand (Sopron, in Hungary), also occurs within the large geographic distribution of the most Eastern lineage E, in a region where lineages A and C also occur. Individuals were chosen either on the basis of their differing leaf morphology among Q. robur and Q. petraea species (Kremer et al. 2002a),

Leaves were sampled, stored in silica gel and sent to INRA (Cestas, France) for DNA extraction following Guichoux et al. (2013). DNA quality and concentration were assessed with a Nanodrop spectrophotometer (NanoDrop Technologies, Wilmington, 152 DE, USA) and by separating samples in 1% agarose gels stained with ethidium bromide. Extractions were repeated until we obtained at least 20 micrograms of genomic DNA per sample, which was needed for a few thousands individual PCRs.

## Choice of genic regions for resequencing

Genic regions were chosen from over 103 000 Sanger sequences available in expressed sequence tags (EST) databases at the start of the project. These sequences corresponded to 14 cDNA libraries that were prepared with many individuals from both species. They-obtained from various tissues and developmental stages—were assembled before finally selecting 2000 fragments for resequencing (Appendix S1 and Fig. S2-A, Supporting information for more on methods producing the original working assembly (prict); see also Ueno et al. 2010). The targeted fragments were chosen from an extensive compilation of both expressional and functional candidate genes that would likely be involved in white oaks' divergent functions and/or local adaptation, using model and non-model species databases or published results (see Appendix S1 and Fig. S2-B, Supporting information for more details on the strategy followed, and Table S1 for designed primers).

bud, leaf, root and wood-forming tissues), and thus likely to target a large range of expressed genes. Overall, 146 individuals were sampled in 3 different French regions (South West, North East and North West). We performed the first working assembly for those sequences, with the main aim of avoiding paralog assembly while limiting split contigs with overlapping homolog sequences, the final assembly including 13477 contigs and 74 singletons (Appendices S1 and S2, Fig. S2 A, Supporting information). The libraries used in this assembly have since been named A, B, F to O, and S, and were included in larger transcriptome resources for Quercus species (Ueno et al. 2010).

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In parallel, expressional and functional candidate genes information was compiled for targeting those potentially involved in white oaks' divergence and/or local adaptation (Fig. S2 B and Table S1, Supporting information). Briefly, model species databases were searched for gene accessions by gene ontology (GO) and metabolic pathways keywords. Those sequences were first Blasted against our oak assembly (Altschul et al. 1990, 1997). Second, the sequences from their best hits were extracted (see filtering criteria in Fig. S2 B, Supporting information) and re-Blasted against the non-redundant protein (NR) database at NCBI. Third, their annotation was compared to those of the initial gene accessions, allowing 95% of hits from the oak assembly to be validated (step 2 in Fig. S2-B, Supporting information). Expressional candidate genes sequences from bud tissues or stress treatment libraries and a random set of ESTs were also directly sampled across the oak assembly generated above (see Table S1, column F, Supporting information). Primers were designed with the OSP software (Hillier and Green 1991) by setting up homogenous melting temperatures constraints and excluding low-complexity propositions. Predicted amplicons were Blasted against each other and onto our assembly to exclude those with potential amplification problems and multiband patterns. They were also checked for their depth and presence of polymorphisms in contigs alignment, yielding finally 2000 amplicons for resequencing (Fig. S2 B, Supporting information).

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Data production and polymorphism discovery in resequenced fragments

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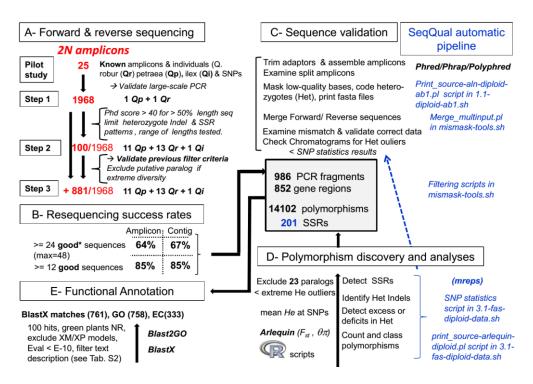
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All the sequencing work was performed by Beckman Coulter (Agencourt Bioscience Corporation, Beverly, MA, USA) on ABI3730 capillary sequencers (Applied Biosciences) after preparing DNA samples according to the company's guidelines. <u>Various d</u>Data quality steps were <u>followed for designed throughout the process in order to-maximizinge</u> the amount and quality of the sequences finally obtained (Fig. 1-A, and Appendix S1, Supporting information for further analyses across 2000 amplicons).

**Figure 1** Bioinformatics strategy for sequence data production, amplicon assembly, functional annotation, and polymorphism discovery. Scripts used are in italics (see text for further details). GO: Gene Ontology, EC: Enzyme Commission ID. \* A **good** sequence is defined as having a minimum of 50% of its nucleotides with a Phred score above 30.



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Forward and reverse sequences were produced for 986 amplicons across 25 individuals (100+881 in steps 2 and 3, Fig. 1-A), and more than 85% of them yielded at least 12 highquality sequences (Fig. 1-B and column L in Table S1, Supporting information). All amplicon assembly steps, merging, trimming, and filtering/masking based on quality were performed Bioperl scripts SeqQual with <del>from</del>our pipeline\_\_\_(<del>, available</del> https://github.com/garniergere/SeqQual), with examples of data and command files. This repository compiles and extends former work dealing with 454 data (Brousseau et al. 2014; El Mujtar et al. 2014), providing Bioperl scripts used here that automatically deal with Sanger haploid or diploid DNA sequences and allow fasta files post-processing in batch (Fig. 1-C). Sequence variant Polymorphisms discovery was finally performed on nucleotide data withusing an error rate below 0.001 (i.e. Phred score above 30, Ewing et al. 1998, and see Appendix S1, Supporting information for more details). Simple sequence repeat (SSR) patterns were further detected or confirmed from consensus sequences using the mreps software (Kolpakov et al. 2003; see, Fig. 1-D, and see for a R script parsing mreps output, https://github.com/garniergere/Reference.Db.SNPs.Quercus/ for a R script parsing mreps outputMREPS.parsing/ for a R script parsing mreps output). Various additional steps involving the treatment of insertion-deletion polymorphisms (indels) and heterozygote indels

- 246 (HI) in particular, allowed missing data from polymorphic diploid sequence to be minimized
- 247 (see Appendix S1, Supporting information).
- 248 Functional annotation

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- 249 Resequenced genic regions were annotated using the BlastN best hits offer their 250 corresponding our working assembly (orict) original contigs and those of their for the expected amplicons (orict-cut) towere first retrieved using Lesur et al. (2015)' most recent 251 252 oak assembly (ocv4, Lesur et al. (2015); see Table S2-C, Supporting information). Final 253 <u>c</u>Consensus <u>sequences</u> of <u>or these</u> <u>eandidate</u> regions originated from both *orict* and *ocv4* (396 254 and 368 respectively, see Table S2-A, S2-B, and Appendices\* S1 and S3, Supporting 255 information), aiming at retrieving the longest consensus sequences that included the 256 resequenced gene regions, while avoiding to target those with possible chimeric sequences. 257 Functional annotation was then performed via homology transfer using BlastX 2.6.0+ 258 program at NCBI (https://blast.ncbi.nlm.nih.gov/Blast.cgi) with parameters to optimize speed, 259 hits' annotation description and GO content (Fig. 1-E and Table-S2, Supporting information). 260 Retrieval of GO terms were performed with Blast2GO (Conesa et al. 2005 free version at 261 https://www.blast2go.com/blast2go-pro/b2g-register-basic) and validation of 262 annotations with Fisher Exact enrichment tests (details of Blast2GO analyses provided in 263 Appendix S1, Supporting information).
- 264 Characterization of diversity and genetic clustering
  - Using the *SNP-stats* script for diploid data from *SeqQual*(see above), simple statistics were computed across different types of polymorphisms (SNPs, indels, SSRs...) including minimum allele frequencies (*maf*) and heterozygote counts, Chi-square tests probability for Hardy-Weinberg proportions,  $G_{ST}$  (Nei 1987) and  $G_{ST}$  standardized measure (Hedrick 2005). Complex polymorphisms (involving heterozygote indels (*HI*) and/or SSRs,) were also further characterized (see Appendix S1, Supporting information), and data formatted or analyzed using either Arlequin 3.5 (Excoffier and Lischer 2010), *SeqQual* (e.g. for Arlequin input file with phase unknown, Fig. 1-C), or R scripts. Nucleotide diversity  $\theta\pi$  (Nei 1987), based on the average number of pairwise differences between sequences, and its evolutionary variance according to Tajima (1993), were also estimated and compared among species and across candidate genes grouped by broad functional categories (see column F in Table S1, Supporting information), and Weir and Cockerham (1984)  $F_{ST}$  estimates of differentiation

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- 277 were computed among species for SNP data along genic regions using analyses of molecular
- variance (Excoffier 2007).
- 279 The initial morphological species samples were compared to the genetic clusters obtained
- 280 with the STRUCTURE v2.3.3 inference method (Falush et al. 2003) in order to test possible
- 281 levels of introgression across individuals. We used the admixture model allowing for mixed
- ancestry and the correlated allele frequencies assumption for closely related populations as
- 283 recommended defaults, and since they best represent previous knowledge on eachboth species
- 284 genetic divergence across their range (e.g. Guichoux et al. 2013). Preliminary replicate runs
- using the same sample of loci produced very low standard deviation across replicates of the
- data log likelihood given K (ln Pr(X/K), see Fig. S3-A, Supporting information). We thus
- 287 resampled loci at random for each of 10 replicate datasets in 3 different manners to add
- genetic stochasticity: 1) one per region, 2) one per 100 bp block, and 3) one per 200 bp block
- 289 along genes (see Appendix S1, Supporting information and
- 290 https://github.com/garniergere/Reference.Db.SNPs.Quercus/tree/master/STRUCTURE.files
- 291 for examples of STRUCTURE files as recommended by Gilbert et al. (2012), along with R
- scripts for outputs). Statistical independence among loci within each species was verified with
- 293 Fisher's exact tests implemented in Genepop 4.4 (Rousset 2008).
- 294 **Results**
- 295 Polymorphisms typology and counts
- Among the amplicons tested, 986 were successful, 13 did not produce any data and 23 were
- 297 excluded because of paralog amplifications (Fig. 1-C and Table S1, Supporting information).
- Around 25% of the successful amplicons overlapped and were merged, consistently with their
- 299 original design across contigs. Despite the presence of HI patterns due to SSR or indels, most
- 300 amplicons were entirely recovered with forward and reverse sequencing. Several (5% of the
- 301 total) were however kept separate, either because of functional annotation inconsistency, or
- 302 because amplicon overlap was prevented by the presence of SSRs or putative large introns
- 303 (see "Final gene region ID" column with -F/-R suffix in Table S1, Supporting information).
- 304 We finally obtained 852 genic regions covering in total ~529 kilobases (kb), with an average
- size of 621 bp per region, ranging from 81 to 2009 bp (Table 2, and Appendix S4, Supporting
- information, for genomic consensus sequences).

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	Both species and introgressed	Q. petraea	Q. robur	Q. ilex
_	individuals			
Total length resequenced (bp)	529281	-	-	196676
Number (Nb) of amplicons	986	-	-	486
Nb of genic regions	852	-	-	394
Mean genic region size - N50 size (bp)	621-700	-	-	500-539
Minimum - Maximum genic region size (bp)	81-2009	-	-	198-1285
Estimated intron sequences (bp)	186827	-	-	-
Mean haploid sample size (total sequence)	34.71	13.35	18.28	-
Polymorphism in 852 genic regions				
Mean haploid sample size (variants)	32.16	12.57	13.85	-
Monomorphic genic regions	15 (1.76%)	18 (2.14%)	21 (2.52%)	-
Genes with at least one single base indel	591	345	379	-
" " " one larger indel (>1 bp)	252	190	214	-
" " " one SSR (>=di)	163	-	-	-
SNPs only (excluding 1 bp indels)	12478	7511	8078	-
Indels (1 bp)	1213	751	809	-
Indels (2-5 bp)	221	142	161	-
Indels ( 6-10 bp)	88	72	71	-
Indels (11-50 bp, excl. SSRs)	98	81	79	-
Indels (74,146,219,341 bp, excl. SSRs)	4	3	4	-
Total number of polymorphisms	14102	8560	9202	676
Triallelic SNPs	218	141	165	-
Singletons (incl. 1 bp indels)	4334	1990	2151	-
Variable SSRs (excl. homopolymers)	111	-	-	-
Total length with sequence variant positions	17594	10765	11451	-
Sequence length of indels and complex polymorphisms (Indels and SSRs)	5116	-	-	-

Counts for *Q. petraea* exclude the 2 most introgressed individuals (Qs28 and S444 in Table 1); SSR: simple sequence repeats; "N50 size" is the size for which the cumulative sum of gene amplicons' size equal or higher than this value corresponds to 50% of the total amplicons' size sum; The number of polymorphisms for *Q. ilex* equals the number of heterozygotes in the resequenced individual across amplicons; Numbers of monomorphic regions were computed for those with at least 10 gametes in both species; Some detected SSR patterns were not polymorphic in our samples (detailed in Tables S1 and S5, Supporting information).

Compared to the EST-based expected total fragment size of ~ 357 kb, around 187 kb of intron sequence was recovered across 460 of the resequenced regions (assuming intron presence if an amplicon size was above its expected size by 40 bp). Introns represented ~35% of genic regions in length and ~51% of those including introns.

We observed 14102 polymorphisms in both species across 852 gene regions, 15 of those regions (<2%) being monomorphic (Table 2). This corresponds to 1 polymorphism per ~38 bp, or 1 per ~30 bp when considering the total number of variant positions in both species (17594 bp, Table 2). Remarkably, variant positions involving larger indels, SSRs and mixed

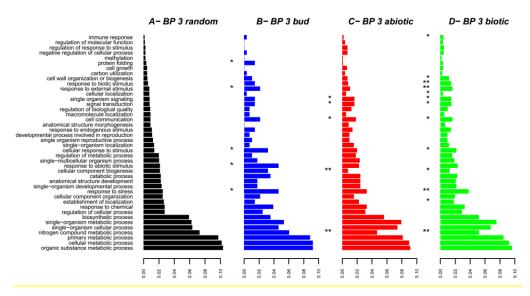
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Paragraphes solidaires

- 322 complex polymorphism patterns represented ~30% of the total variant positions (Table 2, and
- see their exhaustive lists with various statistics in Table S3 and S4, Supporting information).
- We observed 12478 SNPs (88.5% of all polymorphisms), 1 SNP per 42 bp, and 218 triallelic
- 325 SNPs (~1.75% of SNPs) were confirmed by visual examination of chromatograms.
- 326 Considering only one species, we observed on average 1 variant position per ~48 bp, 1
- 327 polymorphism per ~60 bp, and 1 SNP per ~68 bp. Among indels, 1213 (8.6% of all
- 328 polymorphisms) were single base, 309 ranged from 2 to 10 bp, and 102 had sizes above 10 bp
- 329 which were mostly shared among species (Table 2). In this range-wide sample, there were
- 4334 singletons among all single base polymorphisms, 506 of them being indels. Overall,
- indels were present in 69% of gene regions and non-single base ones across ~30% of them.
- 332 Excluding homopolymers (see Appendix S1, Supporting information), we detected 201 SSRs
- 333 occurring on 163 gene regions by considering a minimum repeat numbers of 4 and a
- mismatch rate among repeats below 10% (Table 2, Table S1 and Table S5, Supporting
- information), and 55% (111) were polymorphic in our sample of individuals (Table 2).
- Among them, 89 (44%) had dinucleotide repeats and 65 (32%) trinucleotide repeats. The
- 337 SSRs with the lowest number of repeats (<5) had a majority (59%) of repeat sizes between 4
- and 7, the rest being trinucleotides (Table S5, Supporting information).
- 339 Using the same PCR conditions, homologous sequence data were obtained for one individual
- of the outgroup *Quercus ilex* across 37% of the gene regions (~197 kb, 397 sequences, 676
- 341 heterozygous sites in Table 2), which illustrates both their sequence similarity yet divergence
- 342 for a species belonging to the *Ilex* versus *Quercus* taxonomic group (Lepoittevin *et al.* 2015;
- see Table S1 column Q, and see Appendix S5, Supporting information, for Q. ilex genomic
- 344 sequences).
- 345 Annotations and GO term distributions
- 346 BlastX matches with E-values below  $10^{-30}$  were found for ~97% (738/764) of the contig
- 347 consensus, only 11 sequences (1.4%) having hits with E-values above 10<sup>-10</sup> that were all
- 348 among the reference random sample (see BlastX criteria in Table S2, Supporting
- 349 information). The most represented species among the best hits with informative annotations
- 350 were Prunus persica (111), Theobroma cacao (91), Morus notabilis (57) and Populus
- 351 trichocarpa (45) (Appendix S6-A, Supporting information), which probably illustrates both
- 352 the close phylogenetic relationships among Quercus and Prunus genera, consistently with
- results obtained on the larger ocv4 assembly (Lesur et al. 2015), and the quality and
- availability of *P. persica* genome annotation (Verde *et al.* 2013, 2017).

Between 1 to 30 GO terms could be assigned to 761 sequences, with EC codes and InterProScan identifiers for 343 and 733 of them respectively (Fig. 1, and Table S2, Supporting information). The most relevant GO terms were then retained using the Blast2GO "annotation rule" (Conesa *et al.* 2005) that applies filters from the Direct Acyclic Graph (DAG) at different levels (Fig. 2, Fig. S4-A- to-F, Supporting information).

**Figure 2** Distributions of GO terms across different gene lists (*bud*, *abiotic* and *biotic*) at biological process level 3, and Fisher exact tests across pairs of sequence clusters with the same GO terms between the random list and other lists. Significance levels \*: P<0.05, \*\*: P<0.01.



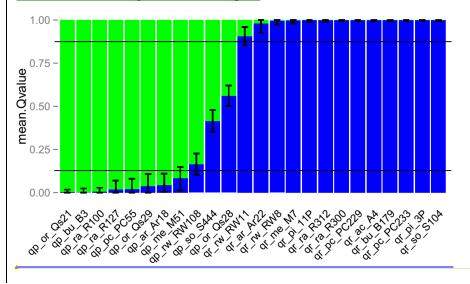
At biological <u>process (BP)</u> level <del>(BP)</del>—3, apart from general terms involving "metabolic processes", a large number of sequences (between ~100 and ~150) were mapped to "response to..." either "...stress", "...abiotic stimulus" or "...chemical", and also to categories linked to developmental processes (Fig. S4-D, Supporting information).

Enrichment tests also revealed a significant increase at both BP levels 2 and 3 for the following GO categories: "response to stress" or "external stimulus" for *bud* and *biotic* gene lists, "response to abiotic stimulus" for the *bud* list, and "immune" and "biotic stimulus" responses for the *biotic* list (see Fig. 2-B to 2-D compared to Fig. 2-A, and Fig. S5, Supporting information). Most of these exact tests (>80%) were still significant when selecting genes attributed exclusively to one particular list (in Table S1, Supporting information), which adds to the relevance of our original gene lists in targeting particular functional categories.

Species assignment and introgressed individuals

In both species, the proportion of significant association tests among the loci used for clustering (> two million within each species) was generally one order of magnitude below the type-I error rates at 5% or 1%. This indicates a very low background LD within species at their range levels, consistently with the underlying model assumptions used in STRUCTURE. Based on both  $\ln Pr(X/K)$  and  $\Delta K$  statistics and as expected, the optimal number of genetic clusters inferred was 2, whatever the number of polymorphisms and type of sampling (Fig. 3, Fig. S3 and S6, Supporting information).

**Figure 3** Posterior assignment probabilities of individuals into two optimal clusters from STRUCTURE analyses, sorted in increasing order of belonging to cluster 2 (here *Q. robur* (Qr, in blue/dark grey), the alternative cluster 1 matching *Q. petraea* (Qp, in green/light grey), apart from individuals with higher introgression levels. Each bar represents one individual and includes mean upper and lower bounds of 90% Bayesian confidence intervals around mean *Q*-values across 10 replicates. Each replicate is a different random sample of 1785 polymorphisms. Horizontal black lines represent the 0.125 and 0.875 se-values, which can be considered as typical thresholds for back-crosses and later-generation hybrids (Guichoux *et al.* 2013), values within those thresholds suggesting a mixed ancestry with the other species for a small number of generations in the past.



Most individuals (20) clearly belonged to either cluster with a mean probability of cluster assignment above 0.9, which was not significantly different from 1, based on mean values of 90% Bayesian credible intervals (BCI) bounds across replicates, and for different types of sampling or SNP numbers (Fig. 3 and Fig. S6, Supporting information). Two individuals from Roudsea Wood in UK, the most northerly forest stand of this study, were considered to be significantly introgressed, each from a different cluster, since both showed a BCI that did not

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include the value "1" across other replicated runs and SNP sampling (Fig. S6, Supporting information), RW108 also having with a mean probability aboveies within 0.125 (Fig. 3) and 0.875. Although M51 has a mean assignment value close to that of RW11 in the particular run shown in Fig.3, its BCI was larger and often included the zero value in other runs (Fig. S6, Supporting information), so it was assigned to the Q. petraea cluster. These values can be considered as typical for back-crosses and later-generation hybrids (Guichoux et al. 2013), suggesting a mixed ancestry with the other species for a small number of generations in the past. In the initial morphological Q. petraea group, two individuals were also clearly of recent mixed ancestry: one from the easternmost forest stand of Sopron (S444), and another one (Qs28) from central France, considered previously to be a Q. petraea parental genotype in two oak mapping pedigrees (Bodénès et al. 2012, 2016; Lepoittevin et al. 2015). However, Qs28 shows here a clear F1 hybrid pattern, given its probability values close to 0.5 and its BCI maximum upper and minimum lower bound values of 0.30 and 0.61 respectively across runs (Fig. 3 and Fig. S6-A to S6-J, Supporting information). Testing 3 or 4 possible clusters showed the same ancestry patterns for the introgressed individuals with 2 main clusters and similar Q-values (data not shown), which does not support alternative hypotheses of introgression from different species in those individuals.

Large heterogeneity of diversity and differentiation across genes

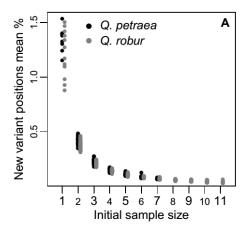
Nucleotide diversity was thus estimated in each parental species after excluding Qs28, RW108, S444 and RW11, which were considered to be the 4 most introgressed individuals from each the initial morphological groups (see Fig. 3 above). We then checked how the remaining samples represented species' diversity. Starting with one individual, we observe a dramatic drop in the mean proportion of new variant positions brought by each new individual in any species (*Mpn*) as a function of the initial sample size, followed by a subsequent stabilization (Fig. 4-A, and see Fig. S7-A, Supporting information). Indeed, *Mpn* was only around 11% when going from 4 to 5 individuals in both species, and stabilized below 5% after 8 individuals in *Q. robur* (Fig. 4-A). We thus decided to retain 726 gene regions with at least 8 gametes per species (listed in column L in Table S1, Supporting information). The larger *Q. robur* sample after excluding the most introgressed individuals (24 versus 16 gametes in *Q. petraea* ) only exhibited slightly higher polymorphism counts than in *Q. petraea* overall (Table 3).

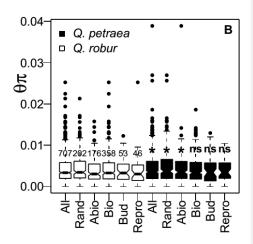
Also, 48% and 52% of the polymorphisms observed were exclusive to *Q. petraea* and *Q. robur* respectively in our panel, the rest being shared among species (Table 3). Among

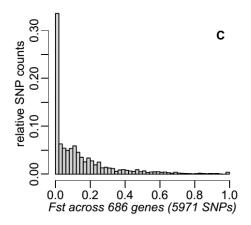
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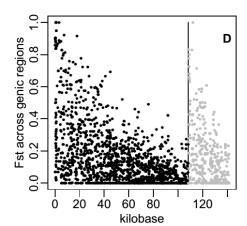
exclusive polymorphisms, 46% and 44% were singletons in *Q. petraea* and *Q. robur* respectively, suggesting that they might be either rare in both species, or more polymorphic in local populations from which few individuals were sampled across the species wider ranges. Overall and within both species, we observed a large variation in the numbers of segregating sites per gene size (Fig. S7-B, Supporting information).

**Figure 4** Mean proportion of new variant sites brought by each new distinct individual added to all possible initial sample size combinations (A); Mean nucleotide diversity (considering all polymorphisms) in both species across genic regions, and different functional categories (B) compared between species with Wilcoxon signed-rank tests: significant at Pr<5% (\*), non-significant (ns); Histogram of *Fst* estimates across polymorphic gene regions with a minimum of 8 gametes per species, after excluding singletons and grouping negative with null values (C); Manhattan plot of *Fst* estimates sorted by mean *Fst* values across randomly chosen (black dots) and Bud phenology (grey dots) genic regions (D).









The mean nucleotide diversity estimates ( $\theta\pi$ ) across genic regions when considering all polymorphisms were 0.00447 and 0.00425 in *Q. petraea* and *Q. robur* respectively, with up to a 10-fold variation among polymorphic genes overall and in different functional categories (Fig. 4-B and Table 3).

**Table 3** Polymorphism counts and nucleotide diversity in parental species across genic regions with larger sample sizes.

Polymorphism in 726 gene fragments	both species	Q. petraea	Q. robur
Number of individuals considered	20	8	12
Monomorphic gene fragments	17 (2.34%)	19 (2.63%)	20 (2.87%)
Total number of polymorphisms	11089	7061	7721
SNPs only	9867	6226	6830
All Indels and SSRs	1222	835	891
Exclusive polymorphisms	-	3359	4024
Singletons among them (%)	-	0.456	0.437
Shared polymorphisms	3696	-	-
Mean nucleotide diversity estimates*			
SNPs only	3.849E-03	3.957E-03 <sup>**</sup>	3.740E-03
" " diversity range		0-0.03823	0-0.02525
Tajima's evolutionary standard deviation	2.549E-03	2.632E-03	2.465E-03
SNPs only (509 chosen genes)	3.752E-03	3.821E-03	3.682E-03
SNPs only (202 random genes)	4.103E-03	4.306E-03	3.900E-03
All polymorphisms	4.359E-03	4.471E-03	4.247E-03
" " diversity range		0-0.03893	0-0.02525
Tajima's evolutionary standard deviation	2.816E-03	2.903E-03	2.729E-03
All polymorphisms (509 chosen genes)	4.214E-03	4.278E-03	4.150E-03
All polymorphisms (202 random genes)	4.716E-03	4.944E-03	4.488E-03

The 4 most introgressed individuals from Fig. 3\_(Qs28, S444, RW108, RW11) are excluded for computations. Monomorphic regions are defined as in Table 2. \*: Diversity isare computed for regions with a minimum of 200 bp overall and at least 8 gametes per species at variant positions. The 509 chosen genes belong to the different functional categories listed in Table S1. Values in the "both species" column for diversity estimates are means across all genes, of both-each species' values. \*\*: Values in bold indicate significant Wilcoxon paired ranked tests for a higher *Q. petraea* nucleotide diversity compared to *Q. robur* across genes.

When including SNPs only, mean  $\theta\pi$  decreased overall by more than 10% (Table 3, and see column D in Table S4, Supporting information). The large variation among genes is also illustrated by the absence of significant differences between mean diversity among functional categories within species, in most comparisons using non-parametric Wilcoxon rank sum tests (Wrs) with similar number of genes. Two notable exceptions were observed when considering all polymorphisms: the biotic stress category (358 genes) had on average a lower  $\theta\pi$  in Q. petraea than in the random gene list (211 genes, Wrs Pr<0.042), and the mean  $\theta\pi$  of the

reproductive phenology category was significantly lower in both species than that of the Bud phenology category (Wrs Pr<0.040 and Pr<0.013 in Q. petraea and Q. robur respectively, considering exclusive categories from Table S2, Supporting information). Genes with  $\theta\pi$ estimates above 0.02 were found across most categories, whether considering all polymorphisms (Fig. 4-B) or SNPs only. The 8 genic regions showing the highest  $\theta \pi$  values in both species were annotated for example as disease resistance, transcription factor or

membrane transport proteins, half of them being from the original random list.

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Comparing nucleotide diversity between individuals according to their main cpDNA lineages B versus A or C (Table 1), no significant differences were found between lineages within both species, using Wpr tests across all genes (see also the lineage-associated distributions of genes' diversity in Fig. S8, Supporting information). This was also true for all functional categories. In both species, the mean differentiation across genes among lineages was very low (<0.015, each gene estimate being the mean  $F_{ST}$  across all polymorphisms at this gene), with very few genes ( $\sim$ 1%) having much higher mean  $F_{ST}$  (ranging from 0.21 to 0.41 or 0.56 within *Q. petraea* and *Q. robur* respectively).

Mean  $\theta\pi$  comparison tests between species across all gene regions were not significant (Table 3, Wrs Pr>0.15 for all polymorphisms or SNPs only), nor were they across different categories and between gene pairs, using a 95% confidence interval based on Tajima's evolutionary variance for  $\theta\pi$  (Tajima 1983) while assuming underlying Gaussian distributions. Indeed for the same genic regions, many examples can be found of higher  $\theta\pi$ estimates in one species or the other. However, comparing diversity estimates across the exact same positions and performing Wilcoxon paired ranked tests (Wpr) across all genes, there was a significant pattern of a slightly higher diversity in Q. petraea (see Table 3 and Fig. 4-B), whether considering all polymorphisms (Wpr Pr<0.028) or SNPs only (Wpr Pr<0.036). This pattern remained significant across the 202 polymorphic genes chosen randomly (Wpr Pr<0.037, all polymorphisms, Table 3), even when excluding the 5% or 10% of genes having the highest  $\theta \pi$  values. This pattern of a significantly higher  $\theta \pi$  in Q. petraea, but it was not observed when robust to considering the other 509 polymorphic geneie regions chosen in functional categories, either together or separately in the different categories (Fig. 4-B), except for the Abiotic stress category.

We also observed a very large variation for  $F_{ST}$  estimates across gene regions and functional categories, which covered the full range of possible values [0,1], with mean values of ~0.13 whether considering all polymorphisms or SNPs only (Fig. 4-C, and Fig. 4-D for the random genic regions and a representative example in one category). The very few segregating sites with  $F_{ST}$  values equal to one had either missing individuals' or strands, possibly caused by polymorphisms within primer regions. Among the sites sequenced for the full sample of gametes, the 20 highest  $F_{ST}$  values ranged from 0.6 to 0.9 and belonged to 10 genic regions, many of which also showed null or very low  $F_{ST}$  values within 100 bp. This large variation in differentiation was observed between very close variant sites in many genes, suggesting very high recombination rates at genome-wide and range-wide scales, and consistently with the very low expected background LD (see above). Additionally, a large variance is expected around  $F_{ST}$  estimates due to the relatively low sample size in both species, in particular for biallelic loci (Weir and Hill 2002; Buerkle *et al.* 2011; e.g. Eveno *et al.* 2008).

#### Discussion

In the NGS era, non-model tree species such as many Fagaceae still lag behind model species for easy access to sequence polymorphism and SNP data (but see Gugger et al. 2016 for Quercus lobata). These data are needed for larger scale studies addressing the many diversity issues raised by their combined economic, ecological and conservation interests (Cavender-Bares 2016; Fetter et al. 2017; Holliday et al. 2017). Recent achievements and data availability from the Q. robur genome sequence project (Plomion et al. 2018) opens a large range of applications in many related temperate and tropical Fagaceae species due to their conserved synteny (Cannon et al. 2018). In this context, we discuss below the representativity of our data in terms of species genomic diversity as well as the robust patterns observed across genes, and further illustrate their past and future usefulness for Quercus species.

523 Genic resources content, quality, and representativity

We provide a high-quality polymorphism catalog based on Sanger resequencing data for more than 850 gene regions covering ~530 kb, using a discovery panel (*DiP*) from mixed *Q. robur* and *Q. petraea* populations located in the western and central European across a large part of their geographic range. This catalog details functional annotations, previous published information, allele types, frequencies and various summary statistics within and across species, which can assist in choosing novel polymorphic sites (SNPs, SSRs, indels...) for genotyping studies. Among genomic SSRs, more than 90% (~200) are new (17 already detected in Durand *et al.* 2010; 3 in Guichoux *et al.* 2011), so they constitute an easy source of potentially polymorphic markers in these oak species. Standard formats for high-density genotyping arrays and primer information are also provided, making these resources readily

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534 operational for medium scale molecular ecology studies while avoiding the burden of 535 bioinformatics work needed for SNP development (Tables S1 to S5, Supporting information, and see also https://github.com/garniergere/Reference.Db.SNPs.Quercus for additional 536 537 information). This catalog corrects and largely extends the SNP database for Q. petraea/robur 538 at https://arachne.pierroton.inra.fr/QuercusPortal/ which was previously used to document a 539 SNP diversity surrogate for both Quercus species in the oak genome first public release 540 (Plomion et al. 2016). 541 Thanks to a high quality dedicated pipeline, we could perform a quasi-exhaustive 542 characterization of polymorphism types in our DiP and across part of the genic partition of 543 these Quercus species (see Fig. 1). Although base call error rates below 1/1000 were used (as 544 originally developed for Sanger sequencing), most variant sites were located in regions with 545 lower error rates (below 1/10000) so that true singletons could be identified. At the genotypic 546 level, a Sanger genotyping error rate below 1% was previously estimated using a preliminary 547 subset of around 1200 SNPs from this catalog (corresponding to around 5800 data points in 548 Lepoittevin et al. 2015). This rate can be considered as an upper bound for the present study, 549 given all additional validation and error correction steps performed. Although little produced 550 now with the advent of NGS methods, Sanger data have served for genome sequencing 551 projects in tree species before 2010 (Neale et al. 2017), and have been instrumental, in 552 combination to NGS for BAC clones sequencing, in ensuring assembly long-distance 553 contiguity in large genomes such as oaks (Faivre-Rampant et al. 2011, Plomion et al. 2016). 554 Sanger sequencing has also provided reference high-quality data to estimate false discovery or 555 error rates, and validate putative SNPs in larger scale projects (e.g. Geraldes et al. 2011 in 556 Populus trichocarpa; Sonah et al. 2013 in Soybean; Cao et al. 2014 in Prunus persica). 557 Finding an optimal balance between the number of samples and that of loci is critical when 558 aiming to provide accurate estimates of diversity or differentiation in population genetics 559 studies. Given the increasing availability of markers in non-model species (usually SNPs), it 560 has been shown by simulation (Willing et al. 2012, Hivert et al. 2018) and empirical data 561 (Nazareno et al. 2017) that sample sizes as small as 4 to 6 individuals can be sufficient to 562 infer differentiation when a large number of bi-allelic loci (> 1000) are being used. A broad-563 scale geographic sampling is however required if the aim is to better infer genetic structure 564 and complex demographic scenarios involving recolonization and range shifts due to past 565 glacial cycles, such as those assumed for many European species (Lascoux and Petit 2010, 566 Keller et al. 2010, Jeffries et al. 2016, Sousa et al. 2014). Our sampling design is likely to have targeted a large part of both species overall diversity and differentiation across the resequenced genic regions. This is first suggested by the small proportion of additional polymorphisms once an initial sample of 8 gametes was included for each species (i.e. ~10% and decreasing as sample size increases, Fig. 4-A and Fig. S7-A, Supporting information). Considering the *DiP* within each species, each individual brings on average ~166 new variants (~1% of the total). Second, the large variance observed across gene nucleotide diversity estimates (see Table 3) is mostly due to stochastic evolutionary factors rather than to sampling effects so unlikely to be impacted by sample sizes over 10 gametes (Tajima 1983). Third, sampling sites are located in regions which include 4 out of the 5 main cpDNA lineages reflectingalong white oaks recolonization routes (lineages A to C and E in Petit *et al.* 2002a), the likely haplotypes carried by the *DiP* individuals being A to C (Table 1)., so only the less frequent *D* lineage from South western Spain might not be represented in our *DiP*.

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Therefore, if new populations were being sampled within the geographical range considered, they would likely include many of the alleles observed here within species and at other genes across their genomes. For differentiation patterns, older and more recent reports showed a low genetic structure among distant populations within each species, and a relatively stable overall differentiation among species compared to possible variation across geographical regions (Bodénès et al. 1997; Mariette et al. 2002; Petit et al. 2003; Muir and Schlötterer 2005; Derory et al. 2010; Guichoux et al. 2013; Gerber et al. 2014). For new populations sampled outside the *DiP* geographic range, a recent application to *Q. robur* provenances located in the low-latitude range margins of the distribution (where 3 main cpDNA lineages occur) showed a high rate of genotyping success, a high SNP diversity, and outliers potentially involved in abiotic stress response (Temunovic et al. 2020). However, more exhaustive sampling would be required to explore whether data from one particular region could be extrapolated to overall genomic patterns across a larger geographical range.

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We further tested the frequency spectrum representativity of our range-wide *DiP* by comparing genotypic data for a set of 530 independent SNPs (called *sanSNP* for Sanger data) with data for the same set of SNPs obtained in Lepoittevin *et al.* (2015, called the *illuSNP* set since it used the Illumina infinium array technology) for larger numbers of ~70 individuals per species from Southern France natural stands. The SNPs were chosen so that the *illuSNP* set excluded SNPs showing compressed clusters (*i.e.* potential paralogs) and those showing a high number of inconsistencies with control genotypes, as recommended by the authors. Comparing between datasets, for SNPs exclusive to one species in the *sanSNP* set, more than

68% either show the same pattern in the *illuSNP* set, or one where the alternative allele was at a frequency below 5% in the other species. Less than 8% of those SNPs are common in both species in the *illuSNP* set. Similarly, for singletons in the *sanSNP* set, more than two-third of the corresponding SNPs in the *illuSNP* set showed very low to low frequency (<10%), while only 11% in *Q. petraea* and 9% in *Q. robur* showed a *maf* above 0.25. This further confirms the reality of singletons in our *DiP*, and also that some may represent more frequent polymorphisms in larger samples of local populations. The correlations among *maf* in both datasets were high and significant (0.66 and 0.68 respectively for *Q. petraea* and *Q. robur*, both Pr < 0.0001).

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Finally, various methodological steps and obtained results tend to demonstrate that we avoided a bias towards low-diversity genic regions: (i) an initial verification that very low BlastX *E*-values (< 10<sup>-80</sup>) did not target more conserved regions, (ii) a primer design optimizing the amplification of polymorphic fragments, both (i) and (ii) using potential variants in ESTs data assembled across both species (Fig. S2-B steps 1 and 3; Appendix S1, Supporting information), (iii) a high nucleotide diversity across genes and ~50% of shared variants (Table 3 and Fig. 4), (iv) a very low proportion of fragments with no detected variants, and a substantial part (~30%) of variant positions due to Indels and SSRs (Table 2), (v) additional results showing that, across ~100 kb of more than 150 independent fragments amplifying in one species only and thus with possible more divergent primer pairs, the number of detected heterozygotes was twice smaller compared to fragments amplifying in both species (more details in Appendix S1, Supporting information).

These results <u>altogether</u> suggest a small risk of SNP ascertainment bias if the <u>se</u> new resources were to be used in populations <u>both</u> within <u>and/or outside</u> the geographic distribution surveyed, in contrast to panels with much less individuals than here (see <u>respectively</u> Lepoittevin *et al.* 2015 for a discussion on the consequences of such bias in *Quercus* species, and Temunovic *et al.* 2020 cited above).

Overall, we obtained sequence data for 0.072% (~530 kb) of the haploid genome of *Q. robur* (size of ~740 Mb in Kremer *et al.* 2007). We also targeted ~3% of the 25808 gene models described in the oak genome sequencing project (<a href="www.oakgenome.fr">www.oakgenome.fr</a>), and around 1% of the gene space in length. Interestingly, both randomly chosen genic regions and those covering different functional categories have been mapped across all linkage groups (columns F and X in Table S1, Supporting information). Due to the absence of observed background LD, their diversity patterns can be considered independent. The genes studied represent a large number

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of categories, as illustrated by very similar distributions for level 2 GO terms to those obtained with the larger *ocv4* assembly (Lesur *et al.* 2015, comparing their Figure 2 to Fig. S4-A to S4-C, Supporting information).

636 Diversity magnitude and heterogeneity highlight species integrity and introgression patterns

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Using a detailed polymorphism typology, we characterized for the first time in two oak species a high proportion of variant positions (30%) that included 1 bp to medium-sized indels and sequence repeats, compared to the more common and commonly reported SNP loci (Table 2). The proportions of indels observed (11.5% of all polymorphisms) is in the range of results available in model tree species (e.g. 13.8% across the genome in Prunus avium, Shirasawa et al. 2017; 19% in Prunus persica, Cao et al. 2014; a lower estimate of 1.4% in Populus trichocarpa, Evans et al. 2014). Although less abundant than SNPs, they represent an important component of nucleotide variation, often having high functional impacts when located within coding sequences, and they have been proposed as an easy source of markers for natural populations studies (Väli et al. 2008). Larger-sized indels are also likely to be relatively frequent in intergenic regions of the Quercus genome and have been linked to transposable elements (TE, see the BAC clones overlapping regions analyses in Plomion et al. 2016). Similarly, large indels and copy number variation linked to TE activity were identified as an important component of variation among hybridizing Populus species (Pinosio et al. 2017). Here when considering variant positions involved in complex polymorphisms, we observed one variant position per 48 bp on average within species (resp. one per 30 bp in both), compared to the one SNP per 68 bp statistic (resp. one SNP per 42 bp across both species). Also, some of the SNPs observed were located within complex polymorphic regions that would have been classically filtered out, and nucleotide diversity  $(\pi)$  estimates were higher by 12% when including all polymorphisms (from 0.0038 to 0.0044 if averaging across both species and all genes, Table 3). These nucleotide diversity estimates are provided for the first time in Q. petraea and Q. robur across a large number genic regions (> 850), compared to previous candidate genes studies across much smaller numbers (< 10) of gene fragments (Kremer et al. 2012 in Q. petraea; e.g. Homolka et al. 2013).

Based on these data, there is an interest in attempting to estimate SNP numbers across the full genome of the studied species for range-wide samples, as it may impact filtering strategies in pipelines for future NGS haplotype-based data production, or decisions to develop or not SNP arrays in these species. In order to do that, a few realistic assumptions can be made from both the exhaustive description of variants provided, and the mean proportions of SNP numbers in

666 new individuals that we computed for increasing across sample sizes. First ~10% additional rare SNPs per sample could be observed for a DiP twice as large as ours (based on Fig. S7-A 668 data, Supporting information). Thus given the representativity of our data compared to the ocv4 unigene (Lesur et al. 2015), we would expect around 1.36 million SNPs on average 669 670 within species by applying our statistics to the full genic partition of Q. robur or Q. petraea (~80 Mb, www.oakgenome.fr, Plomion et al. 2018). Another reasonable assumption is that 672 shared and exclusive polymorphisms proportions across genic regions would be around 30% 673 and 70% respectively, for these closely related oak species (based on both our DiP and Lepoittevin et al. 2015 results), which translates into the presence of ~2.32 million SNPs for 674 675 the genic partition in a sample including both Q. petraea and Q. robur (resp. ~4.22 if 676 including also Q. pubescens and Q. pyrenaica). Finally, if we apply to the Quercus genome a 677 range of ratios for SNPs counts in intergenic over genic regions estimated from several tree 678 species natural population samples (2.03 in *Populus trichocarpa*, Zhou and Holliday 2012; 2.25 in the "3P" Q. robur reference genotype, Plomion et al. 2016; 2.57 in Prunus persica 679 680 wild accessions, Cao et al. 2014), we obtain an estimate of between 34 to 42 million SNPs within species across a large spatial range (resp. 41 to 51 million SNPs in both Q. petraea and 682 robur species, and 75 to 94 million SNPs considering the 4 species previously cited). All these figures could be at least 30% higher if one considers all possible variants involved in indels, SSRs and complex polymorphisms, as shown in our results. Although of the same order of 685 magnitude, the contrast with the twice smaller number of SNPs identified in Leroy et al. 2019 (~32 millions) across the same 4-four species with similar sample sizes than ours, could be 686 explained by different factors. First their filtering strategy applied on Pool-seq data in order to 688 minimize errors basically excludes all singletons. However, we have seen that verified singletons which could represent rare or local variants amounted to more than 20% of all 690 polymorphisms (see Results). Indeed, very stringent filters are often applied in practice to limit error rates and avoid false-positives, hence limiting the impact of variable read depth and 692 possible ascertainment bias risks, which altogether significantly decrease the number of 693 informative loci compared to either initial fixed amounts (in genotyping arrays, e.g. 694 Lepoittevin et al. 2015) or potential amounts (in reference genomes, e.g. Pina-Martins et al. 2019 in Quercus species; see also Van Dijk et al. 2014). Second, no cross-validation step is 696 available in Leroy et al. (2019) for data quality, that would have permitted to have a better grasp of possible bias and error rate expected in such a dataset, and its consequences on allele frequency estimates and inference methods (see Hivert et al. 2018 and discussion below). Also, we can't exclude that a regional sampling strategy such as the one used in Leroy et al.

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700 (2019) might miss allelic variants with a higher *maf* in other regions for the <u>two</u>2 species 701 having the wider geographical range.

Our nucleotide diversity estimates are consistent with those obtained from genome-wide data and range-wide panels in angiosperm tree species, available mostly from the model genus *Populus* (e.g. *P. trichocarpa*: 1 SNP per 52 bp and  $\pi$ ~0.003 across genic regions, Zhou and Holliday 2012, Zhou *et al.* 2014, Evans *et al.* 2014, Wang *et al.* 2016; *P. tremula*:  $\pi$ ~0.008, P. tremuloides:  $\pi$ ~0.009 across genic regions, Wang *et al.* 2016;  $\pi$  ~0.0026 to 0.0045 in a panel including wild *Prunus persica* accessions, Cao *et al.* 2014). These diversity levels are also within the range estimated for the long-term perennial outcrosser category in Chen *et al.* (2017, see Fig. 1-D with a mean value of silent  $\pi$  close to ~0.005) and can be considered relatively high in the plant kingdom if excluding annual outcrosser estimates or intermediate otherwise. In oaks as in many other tree species with similar life history traits, these high levels would be consistent with their longevity, large variance in reproductive success and recolonization or introgression histories, which could have maintained deleterious loads of various origins (Zhang *et al.* 2016, Chen *et al.* 2017, Christe *et al.* 2016b).

Comparing the nucleotide diversity distributions and examining the range of differentiation across genic regions in our Dip reveal several robust patterns that altogether illustrate historical introgression among both Quercus species. These two species have long been considered as iconic examples of species exhibiting high levels of gene flow (e.g. Petit et al. 2003; Arnold 2006), despite more recent evidence of strong reproductive barriers (Abadie et al. 2012). What has been referred to as "strong species integration" seems nevertheless clearer in our Dip for Q. robur than for Q. petraea, according to genetic clustering inference without any a priori. Three individuals (27%) considered as typical morphological Q. petraea adults (Kremer et al 2002a) showed significant levels of introgression (Fig. 3). In contrast, only one O. robur based on morphology was introgressed to a level matching the least introgressed O. petraea individual. Discussing species delimitation, Guichoux et al. (2013) also showed more robustness in assigning morphological Q. robur individuals to their genetic cluster, illustrating an asymmetry in their introgression levels. We note that among our Dip individuals, Qs28, one parent from two mapping pedigrees (Bodénès et al. 2016) is a clear F1 hybrid among both species (Fig. 3), making those pedigrees two back-crosses instead of one cross within species and one between species.

Moreover, after excluding the four most introgressed individuals, nucleotide diversity in Q. petraea was significantly higher (by  $\sim$ 5% on average) than in Q. robur. This effect is small,

detectable only with Wilcoxon paired ranked tests, mostly across the same ~200 regions sampled randomly and in the *Abiotic stress* category, despite the very large diversity variance across regions, and robust to excluding the highest diversity values. We also sequentially removed the three individuals with the highest *Q*-values from the *Q. petraea* cluster (Fig. 3), since they could still harbor residual heterozygosity due to recent back-crossing events and generate the pattern observed. Remarkably, the same significant patterns of higher diversity in *Q. petraea* were observed. Therefore, with 8 to 10 gametes in *Q. petraea* instead of 8 to 24 gametes in *Q. robur*, and with twice less natural stands sampled, the nucleotide diversity in *Q. petraea* was still slightly and significantly higher than in *Q. robur* (Pr<0.011 and Pr<0.026, using all polymorphisms or SNPs only respectively). Although the magnitudes of range-wide population structure within both species could differentially affect both species global diversity across our *Dip*, published results show that these are very small with similar values (~1% across SNPs, Guichoux *et al.* 2013).

The main hypotheses proposed so far to explain this difference in extent of diversity between species relate to their disparities in life-history strategies for colonizing new stands and associated predictions (Petit et al. 2003, Guichoux et al. 2013). The colonization dynamics model and patterns observed also assumes very similar effective population sizes in both species, which is a reasonable assumption due to their shared past history and the strong introgression impact at the genomic level. However, given increasing and recent evidence of pervasive effects of different types of selection across genic regions with high-throughput data (e.g. Zhang et al. 2016; Christe et al. 2016b in Populus; Chen et al 2017 for long-term perennials), alternative (and non-exclusive) hypotheses worth considering are ones of a higher genome-wide impact of selective constraints in Q. robur (Gillespie 2000; Hahn 2008; Cutter and Payseur 2013; Kern and Hahn 2018; e.g. Grivet et al. 2017). Since Q. robur is the most pioneering species, it has likely been submitted to very strong environmental pressures at the time of stand establishment. Selection might be efficient, given oak tree reproductive capacities, and affect variation across a large number of genes involved in abiotic and biotic responses. This would be consistent with significantly lower levels of diversity (He) in Q. robur at SNPs located in genes that were specifically enriched for abiotic stress GO terms (Guichoux et al. 2013, see their Table S5). Redoing here the same tests across a larger number of independent SNPs (> 1000), Q. petraea systematically showed the same trend of a slightly higher diversity overall, and significantly so only for the Abiotic stress category (Pr<0.01) and for a similar outlier SNP category ( $F_{ST}$ >0.4, mean He>0.15, Pr<0.001) than in Guichoux et al. (2013). In summary, the absence of the same pattern in any other functional categories might suggest that these are too broad in terms of corresponding biological pathways, hence mixing possible selection signals of opposite effects among species, while we still detect an overall effect due to linked selection on a random set of genes, and on genes involved in abiotic stress.

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Within both species, no differences in nucleotide diversity, and a very small differentiation (below 1.5%) were found on average across genes among the main cpDNA lineages (B yersus A or C) that indicate past refugial areas and migration routes. These patterns were expected, given oaks' life history traits (e.g. high fecundity and dispersal rates), large population sizes, and plausible recolonization scenarios throughout Europe leading to current adaptive differentiation among populations at both nuclear genes and traits (Kremer et al. 2010). Only cpDNA ancient differentiation signals among isolated historical refugia were retained, while other putative adaptive divergence effects due to different environments were erased, as illustrated by an absence of correlations between cpDNA and nuclear or phenotypic traits divergence across populations (Kremer et al. 2002b). This is consistent with many events of population admixture during the last ~6000 thousands years after European regions were recolonized, as well as a very low genetic differentiation among distant populations (e.g. Guichoux et al. 2013), which contrasts with a much higher differentiation often observed for adaptive traits (e.g. Kremer et al. 2014; Sáenz-Romero et al. 2017). Interestingly, the very few genes with mean  $F_{ST}$  between 0.21 and 0.56 among lineages are not the same in Q. petraea and O. robur (five and seven genes respectively). Seven of them have GO terms indicating their likely expression in chloroplasts, or their interaction with chloroplastic functions. They are either housekeeping genes for basic cellular functions, or belong to biotic or abiotic stress functions (seven of them), and could be involved in local adaptation between ecologically distant populations, calling for further research in larger samples.

More generally, analyses comparing the nucleotide diversity patterns at genes involved in both species relevant biosynthesis pathways for ecological preferences (e.g. Porth *et al.* 2005; Le Provost *et al.* 2012, 2016) are clearly needed in replicated populations, for example to estimate the distribution and direction of selection effects and putative fitness impact across polymorphic sites (Stoletzki and EyreWalker 2011), or to study the interplay between different types of selection and variation in local recombination rates on both diversity and differentiation patterns (Payseur and Rieseberg 2016).

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A large proportion of shared polymorphic sites ( $\sim$ 50% in any species) highlights the close proximity of species at the genomic level, consistently with a low mean differentiation across polymorphic sites ( $F_{ST}\sim$ 0.13, Fig. 4-C), and despite the very large heterogeneity observed across differentiation estimates. This has now been classically interpreted (and modeled) as reflecting a strong variance in migration and introgression rates, in oaks in particular (Leroy *et al.* 2017), with islands of differentiation assumed to represent regions resistant to introgression. However, interpretations of such patterns remain controversial and multiple processes might be involved and worth exploring further in oaks, such as the effects of heterogeneous selection (both positive and background) at linked loci (Cruickshank and Hahn 2014; Wolf and Ellegren 2017). These effects could be particularly visible in low-recombination regions (Ortiz-Barrientos *et al.* 2016), and would further interact with the mutational and recombination landscapes during the course of speciation (Ortiz-Barrientos and James 2017) and during their complex demographic history.

Applications and usefulness as reference data

During this project, several studies valued part of these resources, hence illustrating their usefulness. For example, good quality homologous sequences were also obtained for ~50 % of the gene fragments in one individual of Quercus ilex. This species is relatively distant genetically to both Q. petraea and Q. robur, belonging to a different section, so these data guided the choice of nuclear genes for better inferring phylogenetic relationships across 108 oak species (Hubert et al. 2014). Bioinformatics tools and candidate genes annotated during the project were also useful to similar genes and SNP discovery approach in *Quercus* or more distant Fagaceae species (Rellstab et al. 2016, Lalagüe et al. 2014 in Fagus sylvatica, El Mujtar et al. 2014 in Nothofagus species). Given the low ascertainment bias and good conversion rate expected within the range surveyed, those genomic resources would be directly applicable to landscape genomics studies at various spatial scales (reviewed in Fetter et al. 2017) in both Quercus species. Indeed, easy filtering on provided SNP statistics in the catalog would allow distinguishing among different classes of SNPs (e.g. exclusive to each species, common and shared by both, linked to particular GO functional categories), delimiting and tracing species in parentage analyses and conservation studies (e.g. Guichoux et al. 2013; Blanc-Jolivet et al. 2015), or improving estimates of lifetime reproductive success and aiming to understand how demographic history and ecological drivers of selection affect spatial patterns of diversity or isolating barriers (Andrew et al. 2013; e.g. Geraldes et al. 2014). This type of spatial studies are surprisingly rare in these oak species, they usually Mis en forme : Paragraphes solidaires

include a small number of SSR markers, and all suggest complexity in geographical patterns of genetic variation and importance of the ecological context (e.g Neophytou et al. 2010; Lagache et al. 2014; Klein et al. 2017, Beatty et al. 2016 for local or regional studies; Muir and Schlötterer 2005; Gerber et al. 2014, Porth et al. 2016 for range-wide studies). Their power and scope would likely be greatly improved by using medium-scale genotyping dataset including a few thousands SNPs such as those described in our study.

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862 863 The robust patterns described above of differentiation heterogeneity and consistent differences in diversity magnitude among species call for more studies at both spatial and genomic scales for unraveling these species evolutionary history, in particular regarding the timing, tempo, dynamics and genetic basis of divergence and introgression. Practically, in order to address those questions in oaks, genomic data on larger samples of individuals could be obtained from either genome complexity reduction methods such as RAD-seq and similar approaches (e.g. Elshire et al. 2011Andrews et al. 2016) or previously developed SNP arrays (e.g. Silva-Junior-et al. 2015). might be fairly limiting for the research questions mentioned above (Arnold et al. 2013; Henning et al. 2014; Zhou and Holliday 2012), especially given the large variance in nucleotide diversity and low overall differentiation characterized here. We therefore do not recommend the development of a very large SNP array in oaks since it is likely to be very costly for the actuala minimal return, especially given the very large and range-wide panel that would be needed to significantly limit ascertainment bias (see Lepoittevin et al. 2015). The very low overall levels of LD observed here indicate also potentially high recombination rates, and thus that a very high SNP density would be required for targeting functional variants, which would not be compatible with technical constraints for controlling for genotyping error rates (previously shown to be high in SNP array). Indeed, these rates would probably be stronger for high diversity, complex, duplicate or multiple copy genic regions (as those observed in this study in Tables S1 and S4, Supporting information, and shown recently to have an evolutionary impact on the Q. robur genome structure, Plomion et al. 2018), preventing these regions to be included in SNP arrays. The very short LD blocks observed in this study might also limit the utility of RADseq data alone to uncover many loci potentially under selection in genome scans for local adaptation studies (Lowry et al. 2016; McKinney et al. 2017). In contrast, targeted sequence capture (TSC) strategies for resequencing (Jones and Good 2016), and the more recent advances in RADseq approaches that deal with previous limitations (Arnold et al. 2013; Henning et al. 2014; and see Rochette et al. 2019), although still uncommon in forest tree species evolutionary studies, might be

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more useful and efficient since they can be oriented towards recovering long genomic fragments. , and tThey would thus allow more powerful site frequency spectrum and haplotype-based inferences to be pursued, therefore avoiding most of the SNP arrays technical issues (e.g. Zhou et al. 2014; Wang et al. 2016), while at the same time avoiding most of the above technical issues. especially given the large variance in nucleotide diversity and low overall differentiation characterized here. TSC approaches will surely be encouraged and tailored to specific evolutionary research questions in oaks in the next decade, given the new Q. robur genome sequence availability (Plomion et al. 2018; Lesur et al. 2018 for the first TSC in oaks). However, the bioinformatics pipelines needed for validating haplotype-based or quality data for population genetics inferences also need constant reassessment according to research questions and chosen technology.

We thus propose, in addition to direct applications to landscape genetics (detailed above) and transferability to other *Quercus* species (for example using primer information in Table S1, Supporting information, and see Chen et al. 2016), that the high-quality data characterized in this study serve as a reference for such validation purposes. They could not only help for adjusting parameters in pipelines for data outputs, but also allow estimating genotyping error rates for SNP and more complex classes of variants, either by comparing general patterns (e.g. maf distribution from Tables S3, S4 Supporting information) or using the same control individuals maintained in common garden that could be included in larger-scale studies. Such a reference catalog of SNPs and other types of polymorphisms within gene fragments could also be very useful for solid cross-validation of variants identification, allele frequency and other derived summary statistics in alternative strategies such as Pool-Seq, which allow increasing genomic coverage while sampling cost-effectively by pooling individuals (Schlötterer et al. 2014). Indeed, the drawback of Pool-Seq approaches, despite dedicated software (PoPoolation2, Kofler et al. 2011) is that they can give strongly biaised estimates, or ones that do not consider evolutionary sampling (Hivert et al. 2018). Therefore, they require further validation methods which usually value previously developed high-quality and lowerscale data (e.g. Pool-Seq versus Sanger and Rad-Seq in Christe et al. 2016b; Illumina GA2 versus Sanger in Cao et al. 2014; EUChip60K versus deep-whole genome resequencing in Silva-Junior et al. 2015). Finally such a reference dataset would help optimizing the amount of data recovery from either TSC or whole-genome resequencing experiments in future research challenges by fine-tuning dedicated data processing bioinformatics pipelines.

# **Data Accessibility**

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The original assembly used for selecting contigs is in Appendix S2 (Supporting information). For Sanger trace files (with data on at least 2 individuals), see the Dryad repository (at the <a href="https://doi.org/10.5061/dryad.4mw6m906j">https://doi.org/10.5061/dryad.4mw6m906j</a> link-will be available once data have been curated, and the reviewer URL is <a href="https://datadryad.org/stash/share/klvEAfXP-GQytODunTk1m1g1BHe7HtTdETj7SIN OfY">https://datadryad.org/stash/share/klvEAfXP-GQytODunTk1m1g1BHe7HtTdETj7SIN OfY</a>). Consensus sequences are respectively in appendices S3 (used to design primers and for functional annotation, see also Table S2), S4 (genomic sequences obtained), and S5 (genomic sequences obtained for *Q. ilex*). Tables S1 and S2 correct and extend the oak Candidate Genes Database of the Quercus Portal (<a href="www.evoltree.eu/index.php/e-recources/databases/candidate-genes">www.evoltree.eu/index.php/e-recources/databases/candidate-genes</a>). SNP, indel and SSR catalogs and positions within genomic consensus sequences, and ready-to-use format for genotyping essays are provided in Tables S3 to S5 (Supporting information), and at <a href="https://github.com/garniergere/Reference.Db.SNPs.Quercus">https://github.com/garniergere/Reference.Db.SNPs.Quercus</a> with additional information.

Bioperl scripts SeqQual from the pipeline given at https://github.com/garniergere/SeqQual, example of parameter files and scripts for STRUCTURE analyses and **MREPS** software parsing are given at

912 https://github.com/garniergere/Reference.Db.SNPs.Quercus

### 914 Acknowledgments

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930 Ricardo Alia, Komlan Avia and Hilke Schröder for reviewing the manuscript and for their Mis en forme : Police : Non Italique 931 constructive comments. 932 **Conflict of interest disclosure** 933 The authors of this article declare that they have no financial conflict of interest with the 934 content of this article. 935 References 936 Abadie P, Roussel G, Dencausse B, et al. (2012) Strength, diversity and plasticity of 937 postmating reproductive barriers between two hybridizing oak species (Quercus robur L. and 938 Quercus petraea (Matt) Liebl.). Journal of Evolutionary Biology, 25, 157-173. 939 Abbott RJ, James JK, Milne RI, Gillies ACM (2003) Plant introductions, hybridization and 940 gene flow. Philosophical Transactions of the Royal Society of London B: Biological Sciences, 941 **358**, 1123–1132. 942 Altschul SF, Gish W, Miller W, Myers EW, Lipman DJ (1990) Basic local alignment search 943 tool. Journal of Molecular Biology, 215, 403-410. 944 Altschul SF, Madden TL, Schaffer AA, Zhang J, Zhang Z, Miller W, Lipman DJ (1997) 945 Gapped BLAST and PSI BLAST: a new generation of protein database search programs. 946 Nucleic Acids Research, 25, 3389-3402. 947 Andrew RL, Bernatchez L, Bonin A et al. (2013) A road map for molecular ecology. 948 Molecular Ecology, 22, 2605–2626. 949 Andrews KR, Good JM, Miller MR, Luikart G, Hohenlohe PA (2016) Harnessing the power 950 of RADseq for ecological and evolutionary genomics. Nature Reviews Genetics, 17(2): 81-951 <u>92.</u> 952 953 Arnold ML (2006) Evolution through genetic exchange. Oxford University Press, Oxford. 954 Arnold B, Corbett-Detig RB, Hartl D, Bomblies K (2013) RADseq underestimates diversity 955 and introduces genealogical biases due to nonrandom haplotype sampling. Molecular biology Mis en forme : Police : Italique 956 22: 3179-3190. 957 Beatty GE, Montgommery WI, Spaans F, Tosh DG, Provan J (2016) Pure species in a 958 continuum of genetic and morphological variation: sympatric oaks at the edge of their range. 959 Annals of Botany, 117, 541-549. 960 Blanc-Jolivet C, Liesebach M (2015) Tracing the origin and species identity of Quercus robur

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## **Author contributions**

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- Funding acquisition: AK, PGG, CP, and MLDL; Initial conception and individuals sampling:
- 1341 PGG, AK, CP, MPR, VL; Bioinformatics strategy and experimental design: PGG, TL; DNA
- extraction and quality check: VL; Sequence Data acquisition: PGG, CP, TL, VL; Individuals'
- 1343 identification checks for quality control VL, CL, PL; Pilot study: VL, PGG; Working
- assembly: JMF, PGG: Primer design and amplicon choice: PGG, VL, TD; Original candidate
- gene lists choice: PGG, TL, JMF, CP, AK, TD, CR, MLDL, GLP, ChB, EG, CaB, NT, PA;
- 1346 Bioinformatics tools: TL and PGG (SeqQual pipeline and R scripts), JMF and AF (Bioperl
- 1347 and R scripts), PA, CL, VelM, JT, FH, TD (SeqQual tests), FR (website); Visual
- 1348 Chromatogram checks, SNP/assembly validations: PGG, VL, TD, PA, TL, MLDL, CaB,
- 1349 ChB, CL, CR and EG; Bioinformatic and population genetic analyses: PGG, TL, SM, ChB:
- 1350 Functional annotation: TL, PGG, VelM, PA; Manuscript draft: PGG; Manuscript review and
- edition: PGG, SM, CL, ChB, TL; all authors agreed on the manuscript.

## 1353 **Supporting Information**

- 1354 Fig. S1 Sampling site locations within the natural geographic distribution of Q. petraea and
- 1355 Q. robur. Vector map is from http://www.naturalearthdata.com and distribution areas from
- Euforgen (http://www.euforgen.org/distribution-maps/)
- 1357 Fig. S2 Working assembly steps and softwares (A), and bioinformatic strategy for search of
- candidate genes and amplicon choice (B).
- 1359 **Fig. S3** Plots of the ΔK values from the Evanno *et al.* (2005) method (S3-A, -B, -C, -D, -E),
- and of the mean values of the estimated probability ln (of the data given K) with standard
- deviations for K ranging from 1 to 5 (S3-F to S3-J), which show support for K=2. Plots are
- 1362 from the STRUCTURE HARVESTER program.
- 1363 Fig. S4 Distributions of Gene Ontology (GO) terms for the consensus sequences in Appendix
- 1364 S3, at level 2 (-A, -B, -C) and level 3 (-D, -E, -F): A- and D- for Biological Process, B- and E-
- for Molecular Function, C- and F- for Cellular Component. Annotation rules: E-value<10<sup>-30</sup>,
- annotation cut-off 70, GO weight 5, HSP coverage cutoff 33%. Filtering applies for at least 5
- sequences and a node score of 5 per GO term (but see rare exceptions in Table S2).
- 1368 Fig. S5 Distributions of GO terms across different gene lists (bud, abiotic and biotic) at
- 1369 Biological Level 2, and Fisher exact tests across pairs of sequence clusters with the same GO
- terms between the random list and other lists. Significance levels \*: P<0.05.
- 1371 **Fig. S6-A to S6-J** Posterior assignment probabilities (*Q*-values) of 24 individuals attributed to
- 1372 2 clusters (STRUCTURE analysis) for different numbers of polymorphisms, different sampling
- of SNP data, and different plots of credible intervals.
- 1374 Fig. S7 Mean number of new variants brought by each new distinct individual added to all
- possible initial sample size combinations (-A); Number of high-quality variant positions per
- 1376 100 base pair (bp) across 852 gene fragments ranked by their length (bp), overall and for each
- 1377 | species (**-B**).
- 1378 Fig. S8 Comparison of nucleotide diversity (theta.pi) distributions between main cpDNA
- lineages (B and A or C) for *Q. robur* (586 genes) and *Q. petraea* (449 genes). The histogram
- represents lineage B for *Q. robur*. Data are available in both lineages within each species for
- at least 8 gametes per lineage, and a minimum of 200 bp per gene fragment.
- 1382 **Table S1** Description of amplicons: primer sequences, original candidate gene list, targeted
- 1383 biological functions (see references), candidate gene type, fragment expected size and
- position in the *orict* original working assembly, preliminary results based nucleotide quality
- for obtained sequences, and validation decision after excluding paralog amplification.
- 1386 Table S2 Functional annotation results from Blast2GO (-A), comparison of BlastX best hits
- 1387 results (according to *E-values*) between consensus sequences of the *orict* working assembly
- and the ocv4 assembly (-B), and comparisons of BlastN results of consensus sequence for
- both *orict* and corresponding expected amplicon (*orict-cut*) onto *ocv4* (-C).
- 1390 **Table S3** Description of all variants single base positions, with sample sizes, alleles,
- 1391 genotypes counts, various statistics, and generic format for genotyping essays input data.
- 1392 Species samples exclude the 2 most introgressed individuals.
- 1393 **Table S4** Description of all polymorphisms as in Table S3, but with a characterization of the
- 1394 length, sequence motifs, contiguous base positions for complex polymorphic regions
- including indels, SNPs and SSRs (see also Table S5 for SSR positions).

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- **Table S5** SSR patterns as detected from the *mreps* software.
- 1397 **Appendix S1** Additional method details.
- 1398 Appendix S2 Contigs of the original working assembly used for selecting candidate gene
- 1399 regions and design amplicon primers, including consensus sequences and reads where
- nucleotides with Phred score below 20 have been masked.
- 1401 Appendix S3 Sequences of chosen contig consensus and singletons sequences for functional
- 1402 annotation analyses.
- 1403 Appendix S4 Consensus sequences of 852 genomic regions obtained in this study for
- 1404 Quercus petraea and Q. Robur individuals. "(N)9": represents a low-quality fragment of a
- length below ~1 kb separating Forward and Reverse amplicons; "n" represents positions with
- a majority of nucleotides with phd score below 30. "(-)x": means that the insertion is a minor
- allele at that position, x being the size of the indel.
- 1408 **Appendix S5** Nucleotide sequence data of 394 gene regions for one *Quercus ilex* individual,
- 1409 heterozygote sites being indicated by IUPAC codes.
- 1410 **Appendix S6** Outputs from Blast2GO analyses.

- 1 High-quality SNPs from genic regions highlight introgression patterns among
- 2 European white oaks (*Quercus petraea* and *Q. robur*).

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10 Appendix S1 - Supporting Methods

11 Original assembly description (see also Figure S2, supporting information)

Sequences from the 14 cDNA libraries were obtained from various tissues and developmental stages (bud, leaf, root and wood-forming tissues) from a total of 146 individuals identified as belonging to both species, and that were sampled in 3 different French regions (South-West, North-East and North-West). These sequences were thus likely to target a large range of expressed genes. We performed the first working assembly for those sequences, with the main aim of avoiding paralog assembly while limiting split contigs with overlapping homolog sequences (Figure S2, supporting information) Briefly, we initially pre-processed all sequences by removing low-quality EST, keeping those with a PHRED score above 20 (PHRED software, Ewing et al. 1998) for at least 90% of base pairs (bp) within a minimum of 100 bp. Vector-related sequences were trimmed or masked using Cross\_match (www.phrap.org/phredphrapconsed.html) and BLAST analyses (Altschul et al. 1990, 1997) against the UniVec database (https://www/ncbi.nlm.nih.gov/tools/vecscreen/univec/). The ~90 000 sequences so obtained were assembled with the STACK PACK pipeline (Miller et al. 1999) with the aim of avoiding the assembly of paralogs while at the same time limiting split contigs belonging to homolog sequences. The 3 main steps followed were 1) the "loose" clustering with the d2\_cluster program (Burke et al. 1999), 2) the contig assembly within clusters with Phrap (www.phrap.org/phredphrapconsed.html) and 3) the final alignment and consensus sequence generation using STACK\_Analysis and CRAW that accounts for

alternative splicing variation (Burke et al. 1998). An iterative PHRAP step was also used for

the largest contigs (including one or two orders of magnitude more reads than the average

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Choice of fragments for re-sequencing BlastX and BLAST2GO analyses

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Expressional and functional candidate genes information was compiled for targeting those potentially involved in white oaks' divergence and/or local adaptation (Fig. S2-B and Table S1, Supporting information). Briefly, model species databases were searched for gene accessions by gene ontology (GO) and metabolic pathways keywords. Those sequences were first Blasted (Altschul et al. 1990, 1997) against our working oak assembly. Second, the sequences from their best hits were extracted (see filtering criteria in Fig. S2-B, Supporting information) and re-Blasted against the non-redundant protein (NR) database at NCBI. Third, their annotation was compared to those of the initial gene accessions, allowing 95% of hits from the oak assembly to be validated (step 2 in Fig. S2-B, Supporting information). Expressional candidate genes sequences from bud tissues or stress treatment libraries and a random set of ESTs were also directly sampled across the oak assembly generated above (see Table S1, column F, Supporting information). Primers were designed with the OSP software (Hillier and Green 1991) by setting up homogenous melting temperatures constraints and excluding low-complexity propositions. We also checked that they were located preferentially in the 3' ends of large contigs, but in regions where putative variants were absent compared to contiguous regions that could include variants (see Step 3 in Fig. S2-B, Supporting information, and primers provided in columns V and W of Table S1, Supporting information). At this stage, we also wanted to avoid targeting more conserved genes a priori. Thus we visually examined, among pre-selected contigs from the oak assembly, the ~100 providing BlastX results with lowest E-values (below <10<sup>-80</sup>), in order to compare their putative polymorphisms patterns with another set of contigs with higher E-values (~ 10.30, see Fig. S2-B, supporting information)-. We verified that the lowest E-values contigs did not correspond to those with the lowest numbers of polymorphisms, or with an absence of putative polymorphisms. Predicted amplicons were Blasted against each other and onto our assembly to exclude those with potential amplification problems and multiband patterns. They were

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also checked for their depth and presence of putative polymorphisms in contigs alignment, 64 yielding finally 2000 amplicons for resequencing (Fig. S2-B, Supporting information). 65 Preliminary analyses of the 1968 amplicons (Fig. 1-A). 66 Overall, more than 85% of the designed amplicons were successful in both individuals, one 67 from each species. We tested whether fragments amplified only in one individual or the other 68 (called fragments A) were more polymorphic overall than those amplifying in both 69 70 individuals (called fragments B): using a minimum Phred score of 30 (i.e. error rate below 0.001), we extracted fragments with a minimum length of 200 bp and maximum mean 71 proportion of missing data of 50%, as computed across overlapping windows by 50% across 72 73 fragments. Among these, a similar amount of fragments A were found in both individuals (158 in Q. robur 11P individual versus 155 in Q. petraea Qs21 individual). One SNP (or 74 heterozygote here) per 654 bp was observed on average across the ~99 kb amplified in Q. 75 robur/11P only, compared to one SNP per 340 bp (across ~ 500 kb) using data on the same 76 individual for fragments B. For the Q. petraea/Qs21 individual, the same statistics are 77 respectively: one SNP per 926 bp across ~98 kb, and one per 342 bp across ~500 kb). 78 79 Filtering more strongly on quality with a maximum proportion of missing data of 25% 80 slightly increased the number of fragments which can be considered as being amplified only for one individual or the other, but the same trend remains (although with more similar values 81 in Q. robur/11P and Q. petraea/Qs21) of around twice less heterozygote at SNPs compared to 82 fragments B with similar quality. 83 84 Although it is difficult to conclude on the basis of one individual per species, there is no 85 evidence that fragments A are more polymorphic than fragments B. We also need to be prudent since with the Sanger technique used here, the quality filtering may also have masked 86 some heterozygote indels in diploid sequences (see the part Treatment of diploid sequences. 87 below for the full data obtained), and thus also subsequent parts in the fragments, yielding 88 stretches of low-quality positions due to the frame shift of the second strand, which might also 89 harbor polymorphic sites. Indeed, 24% of fragments A had proportion of missing data above 90 91 25%, compared to 9% for fragments B, indicating an overall lesser apparent quality and thus the possibility that some polymorphisms may have been missed. However, the same treatment 92

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was used for Fragments A and B here, and thus polymorphic sites may have been missed also

in fragments B. Overall, we can consider that given the strategy followed for choosing the

fragments and designing the primers, given the preliminary results above on the 1968

amplicons, and given the results showing a large nucleotide diversity overall in these species

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(see Results of the main text), there is no strong evidence that we targeted genic regions that 97 Mis en forme : Police : (Par défaut) Times New Roman, 12 pt, Non Gras, were particularly conserved. 98 Couleur de police : Automatique Mis en forme : Couleur de police : Functional annotation of re-sequenced genic regions, using BlastX and BLAST2GO analyses, Automatique 99 Mis en forme : Police : (Par défaut) Times New Roman, 12 pt, Non Gras, BlastX 2.6.0+search (using BlastX NCBI 100 program Couleur de police : Automatique (https://blast.ncbi.nlm.nih.gov/Blast.cgi) was finally performed on 396 sequences from orict 101 Mis en forme : Couleur de police : Automatique (our working assembly original contigs) and 368 sequences from the most recent oak 102 Mis en forme : Police : (Par défaut) Times New Roman, 12 pt, Non Gras, 103 assembly ocv4 (Lesur et al. 2015, and see Table S2-A,-B,-C and Appendix S3, Supporting Couleur de police : Automatique Mis en forme : Police : Italique. information, for contig consensus sequences), based on their annotation consistency, BlastN 104 Couleur de police : Automatique and BlastX lowest E-values and highest % of identity, consensus length (below 6 kb) and a 105 Mis en forme : Couleur de police : 106 minimum number of IUPAC ambiguity codes (below 50) scattered across sequences. We Mis en forme : Police : (Par défaut) Times New Roman, 12 pt, Italique, 107 excluded ~60 ocv4 consensus sequences (~8%) with stretches of such codes (from around 50 Couleur de police : Automatique, Anglais (États Unis) to 430 bp) that indicate possible paralogs or alternative spliced exons in the ocv4 assembly 108 Mis en forme : Paragraphes solidaires (Lesur et al. 2015, and see column P "nb.pol.2c" in Table S2-B, Supporting information). 109 Mis en forme : Police par défaut, Police:(Par défaut) +Corps (Calibri), 11 pt, Français (France) 110 For Blast2GO analyses, based on the studied genes hits similarity distribution (Appendix S6-B, Supporting information), we used 2 cut-off values: 55% for similarity and 33 (~100 bp) for 111 high scoring segment pair (HSP). This allowed retrieving Genbank identifiers and 112 corresponding Gene Ontology (GO) terms, which were mainly from the UniProtKB and 113 TAIR databases. In order to examine the relevance of the original gene lists in targeting broad 114 functional traits (column F in Table S1, Supporting information), we tested whether they 115 contained an enrichment of particular GO terms in comparison to the randomly chosen 116 117 contigs, using GO data across all sequences in each gene list. Treatment of diploid sequences obtained in the discovery panel with SeqQual for 118 119 polymorphism discovery 120 Sequence data of amplicons from the same original contig were assembled together and consensus sequences were obtained with the Phred/Phrap (www.phrap.org) suite of programs 121 Code de champ modifié called by SeqQual. Ambiguous codes not detected as valid polymorphisms by Polyphred 122 (https://droogs.gs.washington.edu/polyphred/) were considered as missing data and masked. 123 Code de champ modifié Overall, the time needed to call polymorphisms with SeqQual scripts and to validate by visual 124 125 examination the traces or alignments in amplicons identified with possible problems was much smaller (by a factor of at least 50) than the time needed to correct data in BIOEDIT (Hall 126 Code de champ modifié 1999) or CodonCode Aligner (CodonCode Corporation, www.codoncode.com/aligner/and 127 Code de champ modifié parameter

examples

at

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see

https://github.com/garniergere/SeqQual/tree/master/SeqQual\_shell\_ex). Using print\_source SNP-statistic.pl script output for diploid sequences
 (https://github.com/garniergere/SeqQual/tree/master/SeqQual\_pdf/SeqQual-part3-fastools-

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usage.pdf), we could easily point to amplicons with simple insertion-deletion polymorphisms (indels), more complex indel patterns that included simple sequence repeats (SSRs), and rare or outlying patterns such as heterozygote excess or deficit (see Results). Visual examination then allowed alignments in those complex regions to be corrected if needed. From mismatch cases that were revealed automatically when merging forward and reverse amplicons, we confirmed that more than 99% of identified heterozygotes were correct with chosen Polyphred parameter values (60 and 90 for threshold overall and genotype scores respectively), and so their absence in one of the alternative strand was due to a clear but too weak second peak for a positive heterozygote call. Also, cases of heterozygote excess that mostly showed double peaks (DoP) were considered as paralog amplifications and excluded (column L in Table S1, Supporting information and Fig. 1-D). Additionally for each diploid sequence with a clear automatic heterozygote indel (HI) pattern (i.e. a trace with mostly single peaks becoming clear DoP after a particular position and the presence of at least one homozygote individual for the deletion at that position, or with DoP patterns that were consistent with a particular deletion), we coded the heterozygotes at the first position with corresponding IUPAC codes (http://www.chem.qmul.ac.uk/iubmb/misc/naseq.html), followed by missing data. This allowed minimizing the amount of missing data produced by superimposed allele traces. In several cases, a second HI further along the sequence allowed getting back a clear open reading frame and diploid sequence information. The putative rare variants in some individuals that we missed would be located in those DNA stretches assigned to missing data due to overlapping traces after such HI recoded positions. These HI positions, because there were coded and characterized in the lists provided, homopolymers excepted for thus allow more accurate diversity estimates (Tables S3 and S4, supporting information).

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Treatment of homopolymers in <u>diploid sequences</u> <u>amplicons</u>

Homopolymers, mostly from 8 to 10 A or T repeats depending on the amplicons, often stopped the correct functioning of the polymerase during sequencing after their positions and were observed in 104 amplicons (Tables S1 and S5, supporting information, for their description, position and presence across genes), so heterozygote indels in those regions were generally masked before polymorphism counts.

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STRUCTURE analyses and runs

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and test for possible levels of introgression across individuals. Following recommended defaults, we used the admixture model allowing for mixed ancestry and the correlated allele frequencies assumption for closely related populations. We first drew one polymorphic locus at random per genic region and simulated 10 replicates for each value of K clusters (1 to 5) with burn-in and post- burn-in periods of 100,000 and 1,000,000 iterations respectively. Due to very low standard deviation across replicates of the data log likelihood given K (In Pr(X/K)), we further tested the robustness of the results to genetic stochasticity by resampling loci at random for each of 10 replicate datasets in 3 different manners: 1) one per region, 2) one per 100 bp block, and 3) one per 200 bp block along genes. The block sizes were chosen to sample more loci while keeping low levels of background linkage disequilibrium (LD) *a priori*, given the already high number of independent gene regions (>800), and given that STRUCTURE permits the inclusion of weakly linked markers (Falush *et al.* 2003). Examples of STRUCTURE data and parameter files are archived as recommended by Gilbert *et al.* (2012) along with R scripts for plots including Bayesian confidence intervals in the K=2 case

(https://github.com/garniergere/Reference.Db.SNPs.Quercus/tree/master/STRUCTURE.files).

Missing data were below 20% across loci, at least 12 gametes were present in the original

morphological species, and an arbitrary maf of at least 9% across polymorphisms allowed

singletons to be excluded. We examined both ln(Pr(X/K)) and  $\Delta K$  (Evanno et al. 2005)

We used STRUCTURE v2.3.3 (Pritchard et al. 2000, Falush et al. 2003) to infer genetic clusters

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